1. List the Principles of the AICPA Code of Professional Conduct:

2. List the Rules of the AICPA Code of Professional Conduct:

3. The highest level of independence requirement is applied to a “Covered Member”. Is a partner of KPMG in Charlotte North Carolina a Covered Member relative to a KPMG Los Angeles audit? (Please assume that the Charlotte Partner is not in a position of influence over the LA Partner.) (YES/ NO)

4. How much time should an auditor wait before accepting employment as the CFO of their publicly traded client?

5. AccountantsWeBe, LLP has provided bookkeeping services to XYZ, Inc. for the past five years. Recently XYZ’s bank told XYZ that they need financial statements with “assurance”. Which of the following reports would AccountantsWeBe be able to issue?  
   - Audit/Examination
   - Compilation
   - Compilation and Review
   - Review
   - Agreed-Upon Procedures